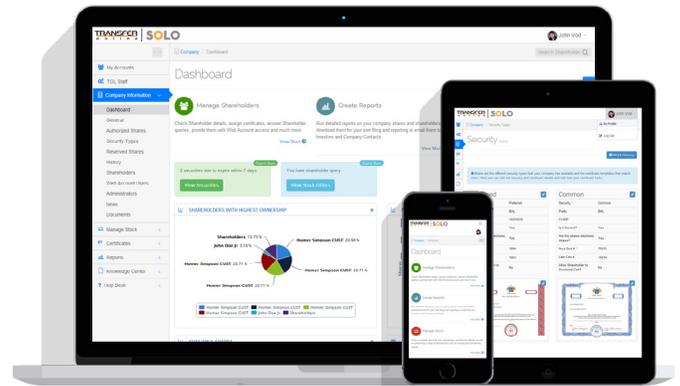


# SYSTEM OVERVIEW DOCUMENT

## SOLUTIONS FOR BUSINESSES IN TODAY'S TRANSFER AGENT INDUSTRY

With limited budgets, many companies find that they are not in a position to invest in the fee schedule of a traditional transfer agent. Additionally, purchasing customized software to help with the transfer agent responsibilities tends to be exorbitantly expensive and complicated. So, what solution can help companies cut costs, enhance quality, and allow them to manage their own shares?

One solution is a web-based software designed specifically to meet the needs of a company that is looking for transparent pricing, streamlined services, company-managed control, and the latest security features.



### BENEFITS OF WEB-BASED SOFTWARE

Companies can benefit greatly by using a web-based software designed by transfer agents that includes:

- **Transparent pricing:** Prices for services listed upfront with a thorough explanation of fees for extra services. Service packages geared toward ensuring that the company is only paying for the services they want.
- **Streamline services to enhance processes:** Technology that moves towards a paperless environment, streamlining services to lower fees and enhance the business process.
- **Company management of shares:** Technological capabilities allowing companies to manage their own shares, run reports, and allow shareholders to view information. Yet, still having access to transfer agents to assist, when needed.
- **Software & security:** The latest software/security measures are in place, to ensure the client information is kept safe from the risk of cyber-attacks.

## HOW IT WORKS

So how would the web-based software work? A company would sign up online, choose a package that best suits the company needs, and begin using the software. It's that simple! Also, there are transfer agents available to answer questions. Tech support is located in the US and available 24/7.

### KEY SOFTWARE FEATURES

#### DASHBOARD

#### MANAGE STOCK

- Transaction History
- Issue, transfer, convert, retire shares
- File/Replace lost certificates
- Dividends,
- Forward/Reverse stock splits
- New stock offers

#### CERTIFICATES

- View certificates
- Order certificates

#### REPORTS

- View current balance
- Run shareholders report or ownership percentage reports

#### KNOWLEDGE CENTER

- Useful Documents
- Invoices
- Payments

#### HELP DESK

### KEY SECURITY FEATURES

#### SOFTWARE SECURITY

- Software built using the Security Development Lifecycle
- Datacenters managed and monitored 24/7, 365 days a year
- Security using centralized monitoring, providing timely alerts
- Security engineers using the latest techniques to eliminate threats before they become a risk